

KONEKSI Grants Guidelines: Inception Phase

KONEKSI PILOT RESEARCH GRANT – Environment and Climate Change Research (ECC)

STAGE 1 – Expression of Interest (EOI)

- EOI Opening date: 17 March 2023
- EOI Final enquiries / questions received: 28 March 2023
- EOI Closing Date: 31 March 2023

STAGE 2 – Call for Proposal / Grant Application:

- Grant applications Opening Date: 17 April 2023
- CfP Final enquiries / questions received: 16 May 2023
- Grant applications Closing Date: 19 May 2023

Enquiries: If you have any questions, please contact the KONEKSI Secretariat, Email: cfp@koneksi-kpp.id .

Date grant guidelines released: 17 March 2023

Type of grant opportunity: Open competitive

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1. Process Flowchart for KONESKI Inception Phase Grants

The ECC is designed to achieve identified and shared priorities of Australia and Indonesia as the first, pilot phase of grant funding under the KONESKI program.

The KONESKI program works with key Government of Australian (GoA) and Government of Indonesia (GoI) stakeholders to plan, design and progress the grant program, in accordance with the Commonwealth Grants Rules and Guidelines (CGRGs).

	<p>The grant opportunity opens. Guidelines will be published and advertised by DFAT, MOEC-RT and BRIN, KONESKI website, and social media.</p>
Stage 1 Expression of Interest	
	<p>Stage 1 - You submit an Expression of Interest outlining your concept in brief Interested organisations prepare and submit a two page Expression of Interest brief outlining their research topic and response to initial assessment questions. See Annex 1 for the Expressions of Interest template.</p>
	<p>Consideration of Expressions of Interest The KONESKI Secretariat will assess all submitted Expressions of Interest against the program guidelines, including suitability of applications, alignment of proposed activities to the identified priorities and likely impact on knowledge-to-policy (K2P) or knowledge-to-innovation (K2I). Expanded topics of mutual priority interest can be found in Annex 3.</p>
	<p>KONESKI will notify you of the outcome Applicants will be informed by email of the outcome of the Expression of Interest application, and invite you to progress to Stage 2.</p>
Stage 2 – Full application	
	<p>If invited to progress to Stage 2, you may submit a full grant application, building on your Expression of Interest, by the specified deadline. See Annex 2 for the Grant Application template.</p>
	<p>KONESKI will assess and rank all complete grant applications Supported by a committee of independent and relevant research experts, KONESKI will assess your application against the assessment criteria, including overall merit, comprising value for money and research merit. Any incomplete applications will not be assessed.</p>
	<p>Grant Decisions are made Grant assessment results and recommendations will be put forward to the KONESKI Program Technical Committee and the KONESKI Steering Committee for no-objection review. The DFAT delegate, will then endorse successful grants , based on research merit, diversity of projects, available funding and priority.</p>
	<p>Notification of the outcome KONESKI will advise you of your application’s outcome. KONESKI may not notify unsuccessful applicants until grant agreements have been executed with successful applicants.</p>
Research project initiation	
	<p>KONESKI enters into grant agreements KONESKI will enter into a grant agreement with each successful applicant. The conditions of each agreement may vary based on the nature of the activity and any project-specific risks.</p>
	<p>Delivery of grant You undertake the grant activity as set out in your grant agreement. KONESKI will work with you, monitoring your progress and making grant milestone payments. KONESKI will liaise with you to consider how to maximise the success of K2P and K2I.</p>

Evaluation of the ECC / Initial KONEKSI Grant round

KONEKSI will evaluate the specific grant activity and the ECC overall. KONEKSI will base this on the information you provide to us and that we collect from various sources. The outcomes of this evaluation will inform subsequent KONEKSI grant processes.

2. About the grant program

2.1 About KONEKSI

The Knowledge Partnership Platform (KPP) or 'KONEKSI' is Australia's flagship program in Indonesia's knowledge and innovation sector. The Program is funded by the Department of Foreign Affairs and Trade (DFAT) with a budget of AUD 50 million over five years (2023-2027).

Building on existing experience, expertise, and relationships and reflecting the maturity of the Indonesia – Australia bilateral relationship, KONEKSI will develop knowledge that is relevant to both countries and that contributes to Indonesia's economic transition from a resource-based to a knowledge-based economy. KONEKSI will fund and support partnerships between Australian and Indonesian knowledge organisations to carry out and disseminate high-quality, multidisciplinary applied research on complex socio-economic problems that reflect priorities of mutual interest. These partnerships will bring in stakeholders from government, private sector and communities, acknowledge the diverse and complementary strengths of the partners, and operate in a collaborative manner. The research themes will be agreed jointly between GoA and GoI in line with Indonesia's Long-Term and Medium-Term Development priorities, relevant cooperation agreements and the evolving bilateral relationship's priorities.

KONEKSI will support partnerships and activities that respond to knowledge gaps in equality and inclusion. In line with Australia's new aid objectives, Gender Equality, Disability and Social Inclusion (GEDSI) underpins KONEKSI's goal and will inform each stage of the project and its outcomes. KONEKSI seeks to raise the profile of GEDSI-responsiveness and Indigenous Knowledge as critical components of Indonesia's economic transformation.

The knowledge produced will aim at complementing and informing efforts from other GoA-funded initiatives in Indonesia: as such the program will contribute to strengthening the coherence and impact of Australia's cooperation investments portfolio in Indonesia. Building on achievements of the past decade, it will also continue to strengthen the Indonesian knowledge and innovation sector by providing targeted and catalytic assistance to develop organisational capacities and address specific systemic constraints that hinder the development and success of research partnerships between Australia and Indonesia and their ability to make meaningful contributions to Indonesia's development.

This document sets out:

- the purpose of the grant opportunity
- the eligibility for grants
- assessment processes and criteria for grants
- how grant applications are monitored and evaluated, and
- responsibilities and expectations in relation to the opportunity.

You must read this document before filling out an application.

Further information on the KONEKSI ECC program, is available at www.koneksi-kpp.id

2.2 KONEKSI Outcomes: Long Term

KONEKSI is a five-year bilateral program funded by the Australian Government with the Government of Indonesia, that seeks to foster enduring relationships between Australia and Indonesia by advancing research in areas of mutual interest.

The objective of the KONEKSI grant program is to provide funding for innovative programs and initiatives which strengthen research and institutional links with Indonesia and deepen mutual understanding and cooperation between Australia and Indonesia. The goal is to achieve an enduring relationship between Indonesia and Australia that advances mutual interest and supports Indonesia's inclusive and sustainable development.

KONEKSI's purpose is to increase the use of knowledge-based solutions for inclusive and sustainable policies and technologies. This purpose positions KONEKSI as a vehicle to produce knowledge on complex socio-economic problems, where effective solutions are often beyond a unique sector or category of stakeholders. Knowledge produced through KONEKSI will feed into current GoA-supported initiatives in Indonesia and/or will reflect new emerging agendas/priorities that become relevant for the bilateral cooperation in the years to come. It will support both the knowledge-to-policy (K2P) cycle and the knowledge-to-innovation (K2I) cycle, in a complementary and synergistic way. KONEKSI will focus on the following two End of Investment Outcomes (EOIOs):

1. Australian and Indonesian organisations have increased collaboration and mutual understanding through knowledge partnerships; and
2. Innovative solutions for inclusive and sustainable policies and technologies are generated by knowledge partnerships.

2.3 KONEKSI PILOT, Environment and Climate Change (ECC)

During the Inception Phase in the first half of 2023, KONEKSI will take a "twin track" approach whereby the program will focus on launching a small, targeted grants process (known as Track 1) while developing the longer-term grants process (known as Track 2). Unsuccessful applicants from the Inception Phase will not be excluded from applying for future grant rounds.

For KONEKSI PILOT, GoA and GoI, has identified Environment and Climate Change (ECC) as the priority area for the first round of grants called the KONEKSI PILOT and will focus on research related to:

- climate change mitigation
- climate change adaptation, and
- climate change resilience.

These areas have been expanded to government-agreed sub-topics, found in Annex 3.

The KONEKSI PILOT will be evaluated and will inform subsequent rounds of grants and the grant process.

Types of grants under KONEKSI

Over the five-year program, various grants rounds will be undertaken, with various levels of focus, investment and objective. In the KONEKSI PILOT and over the course of the program, KONEKSI's signature offering w KONESKI grants will support research partnerships under three categories (see below table). The indicative maximum amount of each grant may not exceed 350K. We encourage consortiums to develop appropriate research budgets based on the objectives and needs of the research. We acknowledge that some proposals may require less than the indicated maximum amount. The research budgets will be evaluated based on value for money principles regardless of category."

Bertunas (or seeding) grants will fund emerging partnerships between Australian and Indonesian knowledge organisations to conduct exploratory research and ‘just-in-time’ studies/activities.

Bertumbuh (or growing) grants will fund existing and tested partnerships to develop proof of concepts (including recontextualization of existing concepts), prototypes and research that build on previous initiatives and findings.

Berbuah (or flourishing) grants will fund well-established and mature partnerships that demonstrate a commitment to high research standards and an interest and ability to produce knowledge that seeks to transform a sector or create technological advancement, and with potential for wide-spread application.

KPP grant categories

Grant types	<i>Bertunas</i> (seeding)	<i>Bertumbuh</i> (growing)	<i>Berbuah</i> (flourishing)
Purpose	Supporting emerging partnerships to form and develop appropriate organisational structure and research capacities. (Introduction stage)	Supporting existing partnership to strengthen their collaborative processes and capacity to produce quality research on relevant topics. (Commitment stage)	Supporting well established and mature partnerships to produce high-quality research on relevant topics and strengthen their capacities to liaise with knowledge users. (Achievement stage)
Research types	Exploratory research, agenda setting, ‘just-in-time’ studies, early concept development may be commissioned to raise visibility of GEDSI in agenda setting or to inform potential areas of GEDSI responsive knowledge production.	Proof of concept, prototype, recontextualisation of existing concepts, building on previous research findings or data.	Transforming a sector through policy or technological advancement, with potential for wide application and clear relevance to both Indonesia and Australia.

These three grant types provide a flexible mechanism to support different outcomes, and the partnerships each type will fund will have different support needs. As an indication, Bertunas grants will target primarily the formation of partnerships to develop joint agendas. The Bertumbuh grants will build on existing relationships to develop the evidence base and the Berbuah grants will extend knowledge to policy/innovation. All grants - whether funding government-to-government partnerships, private-public partnerships, or involving any other combination of partners - will be awarded based on a competitive selection process.

2.4 ECC Grants - Funding Thresholds, Timeframe and Eligible Cost

The total funding available for the inception phase is up to AUD 2,500,000 that will be dispersed to a select number of high-quality, multi-discipline and diverse research activities across the priority area of Environment and Climate Change. These projects could be across Bertunas, Bertumbuh and Berbuah grants (see above).

The maximum threshold for the pilot round of funding for any individual project will be maximum AUD 350,000. We encourage consortiums to develop appropriate research budgets based on the objectives and needs of the research. The research budgets will be evaluated based on value for money principles regardless of category. Individual funding tranches will be agreed upon at the outset aligned with key milestones and stipulated in each grant agreement with the lead partner.

The timeframe for KONEKSI PILOT, from commencement to completion, must not exceed 12 months. Projects may be allocated an additional 6 months at the end of the specified implementation period for activity closure and final reporting. The period of funding will vary based on the time required for partnership agreement negotiations.

3. ECC grant selection process

The ECC grants process will be undertaken through a two-stage process, to help focus the effort of applicants.

Stage 1: Expressions of Interest

Initially, an open call for Expressions of Interest (Eoi) will be undertaken for a high-level research concept against the grant focus areas and initial eligibility criteria. These will be assessed by the Compliance Committee from the KONEKSI Secretariat. This will be known as Stage 1.

Approved Eoi applicants will be invited to progress to Stage 2.

Stage 2: Grant Applications

Invited applicants will be able to submit a full grant application. This will be known as Stage 2 and involve detailed responses to the selection criteria.

These will be reviewed by a Compliance Committee within the KONEKSI Secretariat (for due diligence and program relevance), and an independent Grants Technical Review Committee (for academic merit) comprising of Australian Fellows and Indonesian Academics.

All eligible partnerships that submit a grant proposal will be assessed against selection criteria and the process and outcome of each selection round will be documented. Your application will be considered based on:

- Policy-relevant/ Innovation -relevant research and academic merit
- Available grant funding
- How well it meets the selection criteria.
- Whether it provides value for money
- Diversity of projects across the ECC grants program, diversity of disciplines, as well as geographic locations and regional-specific needs across Indonesia.
- Diversity of research teams (region, gender, rank)

The criteria are presented in Section 7 and Section 8 of this document and are structured around categories that reflect the nature of the partnership, the suitability of the research topic, and its relevance to policy/innovation, GEDSI-responsiveness and the strength of the methodology. The suggested criteria ensure selected proposals entail diverse partnerships who investigate pertinent topics with methodological rigour and who can perform and deliver results commensurate with the funding made available in each grant type. Partnerships must demonstrate past research performance, management capacity, and commitment to KONEKSI's GEDSI agenda.

4. Eligible entities

To be eligible you must:

- be one of the following entity types:
 - an Indonesian research entity
 - an Australian research entity with an Australian Business Number (ABN), Australian Company Number (ACN), or Indigenous Corporation Number (ICN)
 - an Australian or Indonesian partnership with a lead organisation
 - an Australian or Indonesian registered charity or not-for-profit organisation
 - an Australian or Indonesian local government body
 - an Australian or Indonesian State/Territory government body
 - an Australian or Indonesian Federal government body
 - an Australian statutory authority

- and be willing to provide or develop child protection guidelines for your project if it involves people under the age of 18 years or other vulnerable people.

Applications must comprise of a partnership between at least one Australian and at least one Indonesian institution. Either an Australian or Indonesian university or organisation can submit the application. Any partner entity would be a sub-contractor. The Eligible organisation would need to be able to contract the sub-partner(s).

Further details on partnership requirements can be found in section 7 and 8.

You are not eligible to apply if you are:

- Not an Australian, a permanent resident of Australia, an Australian organisation or based within an Australian organisation; or
- Not an Indonesian, a permanent resident of Indonesia, an Indonesian organisation or based within an Indonesian organisation.

5. Eligible grant activities

5.1 What can the grant money be used for?

The grant's proposed costs must be allowable, reasonable, and directly allocable to the supported activity. Allowable categories include:

- A limited contribution to running costs is reasonable, including a percentage of salary or overhead costs.
- all direct research expenses, including travel reimbursement to organisational site(s)
- reimbursement of travel for focus group participants
- costs for software needed
- data collection (videotapes, web-survey preparation), preparation, and coding
- Economy flights, modest accommodation costs, meals and travel allowances, other transport
- Communication and translation
- Venue hire and catering
- Advertising and promotion, graphic design, photography, and printed material
- Production costs, including freight and artists' wages
- Conference attendance. Only when at least one participant from the project or meeting is speaking, and the conference is of direct relevance to the grant opportunity.

Technology costs should only be included if the technology is a critical component of the proposed project. The grant cannot include tuition payments or any payments to self or advisor.

You will only be able to use the grant to pay for costs detailed and agreed in your budget and grant agreement. Organisations who are currently a recipient of Australian Official Development Assistance (ODA) funding will need to disclose it and demonstrate how the potential KONESKI grant does not duplicate activities those funds.

5.2 What the grant funds cannot be used for?

You cannot normally use the grant for the following:

- capital expenditure, including purchase of real estate and vehicles
- covering of retrospective costs or recurrent funding of activities
- activities that are already commercially viable in their own right
- activities that will provide a commercial advantage to the applicant (e.g., promotion of the applicant's own business)
- costs incurred in the preparation of a grant application or related documentation

- activities for which other Commonwealth, State, Territory or Local Government bodies have primary responsibility (e.g. academic research, assistance to business, development assistance projects)
- activities undertaken by primary or high schools, including study tours, where travel by a significant number of students is the principal element of the proposal
- scholarships to individual students, and
- completed projects

6. Overall Process

6.1 Overview of application process

You must read these grant guidelines and the application form before you submit an application.

You are responsible for ensuring that your application is complete and accurate. Giving false or misleading information will exclude your application from further consideration, and potentially future grant opportunities under the KONEKSI program.

For both submissions of the ECC (Stage 1 and 2), you must address all of the eligibility and assessment criteria. For Stage 2, you must provide two short signed independent references to be considered for a grant as part of your application. Please complete each section of the respective submission forms and make sure you provide the information we have requested. It is your responsibility to ensure any clearances and approvals within your university, or other entity, has been received prior to submitting your application.

Please keep a copy of your submissions and any supporting papers.

We will acknowledge that we have received your grant application by email. You may want to add cfp@koneksi-kpp.id to your approved sender list.

6.2 Expected timing for this grant opportunity

Submit your application/s to the KONEKSI secretariat by the closing date below. Late applications will only be accepted under exceptional circumstances where permission has been granted by the KONEKSI Secretariat in advance of the closing time and date.

Table 1: Expected timing for this grant opportunity

Activity	Time
Expressions of Interest period	Open: 9.00am AEDT 17 March 2023 Close: 2.00pm AEDT 31 March 2023
Assessment of EoI	2 weeks
Invitation to submit full grant application	17 April 2023
Grant applications due	19 May 2023
Evaluation of grant applications	2 weeks
Approval of outcomes of selection process	June 2023
Negotiations and award of grant agreements	June 2023
Notification to unsuccessful applicants	July 2023
Activity commences	June 2023
End date	Specified in application

6.3 Language and format requirements

The Expression of Interest can be either in Indonesian or English. The full proposal must be submitted in English. The EoI and Grant Application forms are available for download on the KONEKSI website at www.koneksi-kpp.id The application forms include help information.

Both forms must be submitted electronically. If you have any technical difficulties, please contact cfp@koneksi-kpp.id. Applications will not be accepted by fax or mail/post.

You must make sure that your application is complete and accurate and submitted in accordance with these Guidelines and application form. You cannot change your application after the closing date and time without prior approval of the KONEKSI Secretariat.

If you find a mistake in your application after it has been submitted or if there are changes to contact details or other information pertinent to the completion of the project, as long as it does not change the substance of your application, you should contact the KONEKSI Secretariat at cfp@koneksi-kpp.id. We will not accept any additional information or requests from applicants to correct a submitted application after the closing time.

6.4 Questions during the application process

If you have any questions during the application period, please contact the KONEKSI Secretariat, cfp@koneksi-kpp.id. The KONEKSI Secretariat undertakes to respond to emailed questions within four working days.

Answers to questions may be posted on the www.koneksi-kpp.id

Applicants may speak and seek assistance from the KONEKSI Secretariat in Indonesian and English.

6.5 Further grant opportunities

KONEKSI aims to allocate all grant funding allocated for the KONEKSI PILOT during the inception grants round. All potential grants will be considered against the outcomes of the ECC (and/or criteria in these guidelines) and value for money. As the broader KONEKSI program evolves, there will be significant opportunities to explore a range of topics in future years.

7. Stage 1: The Expressions of Interest (Eoi) Assessment

7.1 Assessment criteria for the Eoi

No#	Criteria	Assessment type
1.1	Nomination of appropriate lead Australian and Indonesia organisation with administrative and financial capacity, the capacity to apply for ethical requirements and any Indonesian and or Australian national interest test requirements.	Yes /No
1.2	Evidence of emerging or existing effective relationships and performance in Indonesia related to the proposed research objective	Yes/No
1.3	Nomination of projected budget stream	Yes /No
1.4	Project concept fits within ECC themes and topics (Annex 3)	Yes /No
1.5	Clear alignment with at least one of the ECC themes	Yes /No
1.6	The proposed research contributions and outcomes have clear links to knowledge-to-policy and/or knowledge-to innovation cycles, relating to GoA and GoI ECC agendas.	Yes /No
1.7	The proposed research clearly articulates a GEDSI-responsive approach to the research question.	Yes/No

If the applicant/s fails to demonstrate any of the above criteria they will not proceed to Stage 2.

7.2 Completing the Eoi

The following components are drafted in the Eoi form (see Annex 1). Please note that these will be assessed, and inform whether your organisations will be invited to participate in Stage 2 (i.e. the full grant application process).

7.2.1 Application requirements and submission

You may submit your EOI in English or Indonesian, using the application form, which can be downloaded at www.koneksi-kpp.id. The application form includes help information.

The application form should be submitted electronically via email to cfp@koneksi-kpp.id with the title: **ECC EOI: *Title of applicant's lead organisation - Project title keywords.***

If you have any technical difficulties please contact cfp@koneksi-kpp.id. KONEKSI will not provide application forms or accept applications for this grant opportunity by fax or mail/post.

7.2.2 Nominate your projected partner organisation(s)

Applicants must show the intention to partner with others, and include institution/s or organisation/s from *both* Australia and Indonesia. The EOI should identify the lead Indonesian and Australian partner organisations, who will work together on the project. The lead organisation may be Australian or Indonesian. Co-funding requirements are detailed in section 8.1 and will be addressed in Stage 2 grant applications.

Organisations and consortiums may make multiple submissions. If a lead organisation has multiple submissions, these must be listed as separate project proposals.

These partners must have and demonstrate existing relationships. The EOI should also identify any previous and existing project-relevant collaborations that underpin the project proposal and will support the existing organisation to organisation level collaboration.

7.2.3 Nominate your project stream

Grant types	<i>Bertunas</i> (seeding)	<i>Bertumbuh</i> (growing)	<i>Berbuah</i> (flourishing)
Purpose	Supporting emerging partnerships to form and develop appropriate organisational structure and research capacities. (Introduction stage)	Supporting existing partnership to strengthen their collaborative processes and capacity to produce quality research on relevant topics. (Commitment stage)	Supporting well established and mature partnerships to produce high-quality research on relevant topics and strengthen their capacities to liaise with knowledge users. (Achievement stage)
Research types	Exploratory research, agenda setting, 'just-in-time' studies, early concept development may be commissioned to raise visibility of GEDSI in agenda setting or to inform potential areas of GEDSI responsive knowledge production.	Proof of concept, prototype, recontextualization of existing concepts, building on previous research findings or data.	Transforming a sector or technological advancement, with potential for wide application and clear relevance to both Indonesia and Australia.

7.2.4 Nominate your Project Concept within the identified ECC themes and topics

Provide a Project Concept of no more than 250 words with a brief summary of the proposed research, including objective(s), methods, anticipated outputs, and outcomes.

Your project should fall within the list of ECC themes and topics, agreed by the Government of Australia and Government of Indonesia as areas of shared interest. They should broadly address climate mitigation, adaptation, and resilience. It should also reference how the project addresses GEDSI principles. The expanded list of themes and topics is found in **Annex 3**.

7.2.5 Provide a benefit and impact statement

Provide a statement of no more than 750 characters (approximately 100 words) outlining the intended benefit and impact of the project. You must note how the proposed research will link to the knowledge-to-policy (K2P) and / or knowledge-to-innovation (K2I) cycles in Indonesia.

7.2.6 Provide a performance record and evidence of existing relationships

List relevant work conducted between your organisation and proposed partner organisations and evidence of how the organisations have previously worked together. Refer to publications, previous grants or research providing evidence of relevant experience.

7.3 Who will assess the EoI?

A Compliance Committee, established by the KONEKSI Secretariat, will undertake the assessment of each organisation that submits an EoI.

They will undertake an initial assessment of the applications against the EoI selection criteria, and either reject project concepts based on hurdle requirements, or approve project concepts to proceed to the next round (Stage 2).

7.4 Notification of successful EoI

Successful and unsuccessful applicants will be notified by email.

Successful applicants will be invited to proceed to Stage 2 and submit a full grant application.

8. Stage 2: Grant Application Process

8.1 Assessment criteria for Grants applications

You will need to address all the following assessment criteria in your grant application. KONEKSI will judge your application based on the weighting given to each criterion. The amount of detail and supporting evidence you provide in your application should be relative to the project size, complexity and grant amount requested. The application form includes word limits.

Criteria comprise a mixture of hurdle requirements (yes/no) and assessment rankings with weightings. Failure to achieve a 'Yes' for any hurdle results in an automatic exclusion of the application.

No#	Criteria	Assessment type
General Assessment and Due Diligence		
2.01	<p>Suitable organisations: The project must involve partner organisations including at least 1 Australian and 1 Indonesian partner registered in and originating from that country.</p> <p>Any type and number of organisation may be included in the partnership as a member, however only the one organisation will be the grant recipient. This organisation must be able to make the necessary disbursements to the partners.</p> <p>All partners must meet DFAT due diligence and integrity policy requirements, ensuring that potential recipients: are not included on registers of ineligible firms and organisations, sanctions, or terrorist organisations. This includes the capacity for the organisation to undertake any national interest tests required by either Indonesia or Australian research organisations.¹</p>	Yes/No

¹ <https://www.education.gov.au/guidelines-counter-foreign-interference-australian-university-sector/resources/due-diligence-assistance-framework>. Other resources include:

- [Guide to undertaking international collaboration](#)

2.02	<p>GEDSI: Partnership demonstrates commitment to the principles of diversity and inclusion:</p> <ul style="list-style-type: none"> • reference to diversity and inclusion in partnership character / agreement (when existing), and • diverse composition of lead partners’ board and senior management teams (i.e., regional representation, gender equality, senior/ middle and Early Career researchers, etc. and specifically how they will be supported throughout the project). 	Yes/No
2.03	<p>Suitable project staff: Staff / researchers are suitability skilled and experienced. You must provide a register of perceived or real conflicts of interest, or partners and participants currently associated or affiliated with a foreign (i.e. non-Australian) government, political party, state owned enterprise, military or policy organisation.</p>	Yes/No
2.04	<p>Financial & Administrative Capability: Have appropriate financial controls and systems and administrative capability in place, especially to mitigate and manage the risk of fraud and corruption. Experience managing international grants, and clear evidence of having a robust financial and risk management. This could be supported by audit report / financial statements.</p>	Yes/No
2.05	<p>MERL (Monitoring Evaluation Research and Learning) Capacity: Demonstrated ability to monitor and measure success. Experience in developing Monitoring and Evaluation Plans for continuous measurement of progress toward targets and policy/ innovation relevant.</p>	Yes/No
2.06	<p>Demonstrated partnership: The project must demonstrate a track record, quality, and effectiveness of the partnership for similar projects.</p> <p><u>Bertunas (seeding)</u></p> <ul style="list-style-type: none"> • Evidence of previous engagement between co-leads, for example, in a small project, as members of a larger consortium, or through alumni connections <p><u>Bertumbuh (growing)</u></p> <ul style="list-style-type: none"> • Partnership between co-leads exists prior to KONEKSI (2023), formalised through an agreement (for example partnership charter or MoU) • Successful completion of at least 1 research project, demonstrating major involvement of two lead partners (even if part of a larger consortium) and managed by at least one of the lead partners. <p><u>Berbuah (flourishing)</u></p> <ul style="list-style-type: none"> • Partnership between co-leads exists prior to KONEKSI (2023), formalised through an agreement (for example, partnership charter or MoU). • Dedicated resources for partnership operations (administrative support, budget, personnel). • Identified shared agenda and/or research priorities that align with Indonesian and Australian mutual interests. • Successful completion of at least 3 research projects, with at least one of comparable size and scope. 	Yes/No
2.07	<p>Co-funding is optional but encouraged. Co-funding arrangements must be clearly articulated in the application and identify monetary and non-monetary contributions. Examples include (but are not limited to) salary contributions and overheads.</p>	Yes/No

• [Australian Government Information Security Manual](#)
[Guidelines to counter foreign interference in the Australian university sector \(UFIT\)](#).

Research merit, rigour, and methodology		
2.08	Method: The research project’s focus, question and methodology are: <ul style="list-style-type: none"> • of a high standard • draw on relevant knowledge and literature, and • demonstrate sufficient academic rigour. 	Rank 40% weighting
2.09	Relevant Outcomes: Clear line of sight for the translation of the research project to policy or innovation to tangibly address climate change issues in Indonesia.	Rank 40% weighting
2.10	GEDSI Responsiveness: Clear articulation of the ways in which the project will address inequalities and advance gender equality and social inclusion through research design, implementation of activities and outcomes through policy and/ technology. How has the project been designed with GEDSI responsive principles, GEDSI benefit and impact. For example, inclusion of Indigenous / local knowledge and intersectional perspectives not limited to gender but also age, disability, location; demonstrate the potential impact and benefit to vulnerable groups.	Rank 20% weighting
2.11	Technical Capability: Demonstrated technical experience in implementing similar activities (research or projects) in environment and climate change.	Yes/No
2.12	Achievability: The project outcomes are achievable within the stated timeframe. Identify activities, processes or strategies essential to achieving identified results and the timeline of each step.	Yes/No
2.13	Ethics: Demonstrated experience, mechanism, and capability to ensure any ethics clearance is received within suitable time to deliver the activity.	Yes/No

When preparing the application, applicants should bear in mind that the assessors may not be familiar with the applicant, the organisation, or the field of activity. As grant recommendations will be primarily based on the information provided in the application forms, the documents should be clear, accurate, comprehensive and focused.

Co-funding arrangements must be clearly articulated in the application and identify monetary and non-monetary contributions.

Once grants are awarded, KONEKSI will use a grant management system to record, track and report on grant information. The KONEKSI grant database will provide a systematic approach to grant management key monthly financial information will be recorded and accessible to grantees.

8.2 Completing the grant application.

8.2.1 Application requirements and submission

You must submit your grant application in English on the application form, which can be downloaded at www.koneksi-kpp.id. The application form includes help information.

The application form should be submitted electronically via email to cfp@koneksi-kpp.id with the title: **ECC Grant: Title of applicant’s lead organisation - Project title keywords.**

If you have any technical difficulties please contact cfp@koneksi-kpp.id, KONEKSI will not provide application forms or accept applications for this grant opportunity by fax or mail/post.

You must make sure that your application is complete and accurate and submitted in accordance with these Guidelines and application form. You cannot change your application after the closing date and time without prior approval of the KONEKSI Secretariat.

If you find a mistake in your application after submission or if there are changes to contact details or other information pertinent to the completion of the project, you should contact the KONEKSI Secretariat straight away. KONEKSI may ask you for more information, as long as it does not change the substance of your application. KONEKSI does not have to accept any additional information, nor requests applicants to correct applications after the closing time.

8.2.2 Attachments to the application

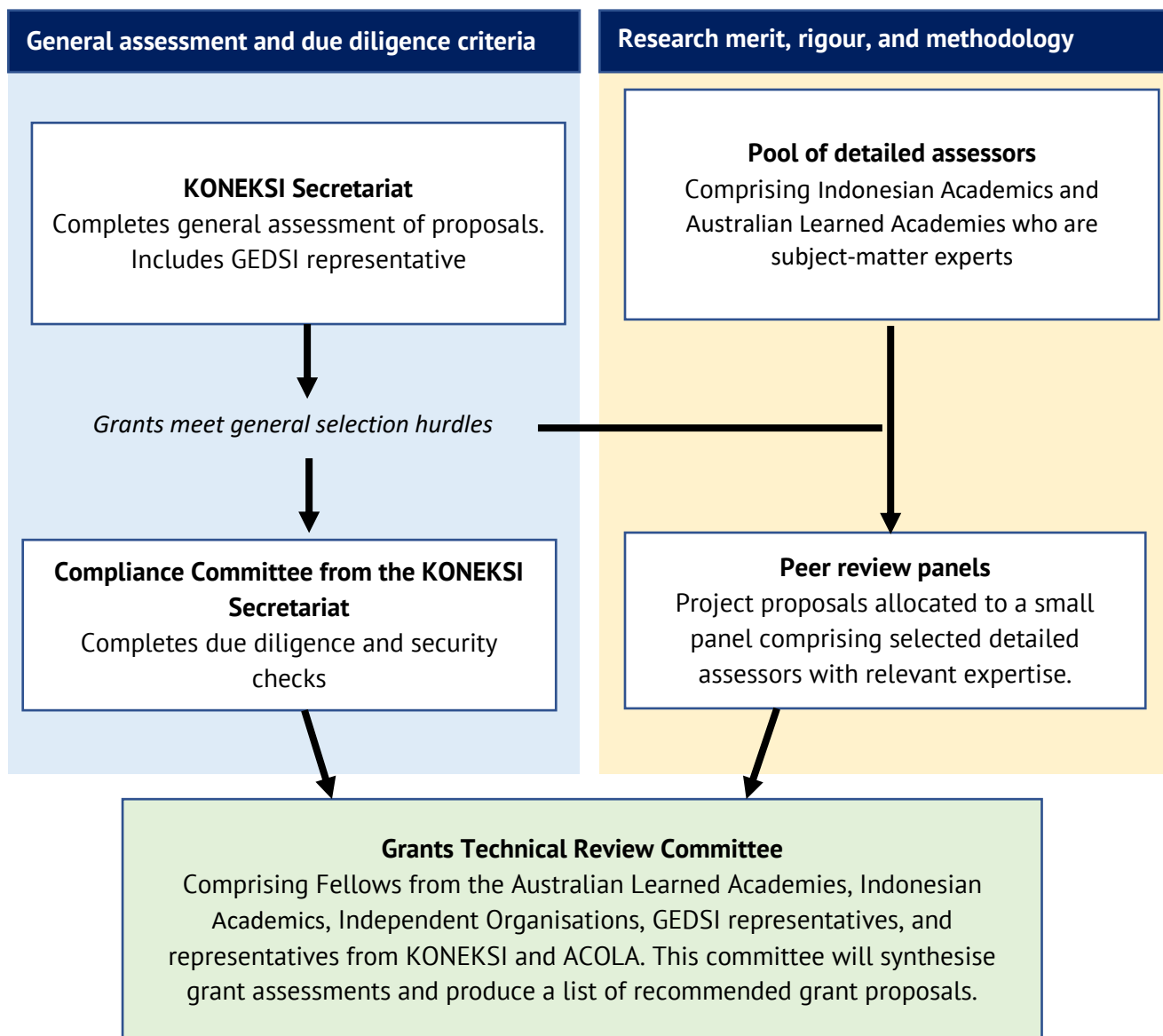
The following documents must be included with your application:

- an overview of how the partner organisations will work together to complete the grant activity.
- an outline of the relevant experience and/or expertise of the partner organisations
- an outline of previous or existing relationships with partner organisations
- the roles/responsibilities of each partner organisation and the resources they will contribute (if any)
- details of a nominated management level contact officer
- details of the lead organisation.
- two short signed independent references.
- letter of support from nominated partners (if relevant).
- organisation capability statement or individual's curriculum vitae (optional); and
- if you apply on behalf of a university, a letter of support from your Research Office
- Notification of non-suitability for grants assessment (if relevant): The pool of experts for the Grants Technical Assessment Committee will be published on the KONEKSI website. Applicants can nominate individuals who they deem unsuitable to assess their application due to conflict of interest, with an accompanying description outlining the reasons why, and include it as an attachment to their grant application.

Your supporting documentation should be attached to the application form. There will be instructions in the application form to help you. Only attach the documents you have been asked to provide.

8.3 Assessment of grant applications

Grants will be processed using two synchronous streams of assessment reflecting the categories of grant assessment criteria: one stream for general assessment and due diligence, and another for research merit. These will be combined and hierarchised by the Grants Technical Review Committee, who will develop grant recommendations. See overview diagram below.



The Compliance Committee from the KONEKSI Secretariat will undertake a general assessment of Stage 2 grant applications against the program selection criteria. The Committee will also undertake due diligence and compliance risk assessments of each organisation that submits a grant application. Any applications that do not meet hurdle requirements will be excluded from further assessment at this stage in the process.

Meanwhile, the applications that meet the hurdle requirements will be reviewed by peer review panels selected from a pool of expert detailed assessors. This pool will comprise of appropriate Indonesian Academics and Australian Fellows in the relevant fields, including environment and climate change research, GEDSI-responsive research, and Indonesian country expertise. The review panels will be assigned specific criteria to review grant applications based on their research, academic and technical merit.

This pool will be released publicly and published on the KONEKSI website upon the commencement of Stage 2. There will be opportunity to nominate assessors who you deem *not* suitable to assess your application due to conflict of interest. More detail on conflict of interest can be found in section 11.2.

Relevant experts from this pool will be identified for each grant proposal and nominated to form a specific Grants Technical Review Committees for each proposal.

The general and detailed assessment scores from the KONEKSI Secretariat, Compliance Committee and the peer review panels form the basis for review a ranked list of applications will be developed and used as the basis of overall grants assessment. This will be undertaken by the Grants Technical Review Committee,

comprising Indonesian Academics and Australian Fellows, GEDSI representatives, and representatives from KONEKSI and ACOLA. This committee will synthesise grant assessments overall and produce a list of favourable grant proposals, ensuring diversity of proposals across the program. The independent Grants Technical Review Committee will then produce a list of grant recommendations.

The KONEKSI Secretariat will then report to the KONEKSI Program Technical Committee and Program Steering Committee for a no-objection review of grant recommendations. Both these committees are made up of representatives from the Government of Australia and Government of Indonesia.

DFAT will then review applications against its policies, determine successful applicants, and make the final approval of grant applications.

Please note:

- If the selection process identifies unintentional errors in your application, you may be contacted to correct or explain the information.
- While an application may meet the assessment criteria, a grant is not guaranteed.

8.4 Who will approve grants?

The Department of Foreign Affairs and Trade will then make the final decision to approve a grant based on recommendations from the KONEKSI Program Steering Committee and Program Technical Secretariat.

The DFAT delegate's decision is final in all matters, including:

- the approval of the grant
- the grant funding amount to be awarded.

KONEKSI reserves the right to offer less funding than that sought by the applicant. Applicants may choose not to proceed with the grant offered to them. The applicant cannot appeal final grant decisions made by KONEKSI / DFAT.

9. Stage 2 Grant Application Outcomes

9.1 Notification of Stage 2 (grant application) outcomes

You will be advised of the outcomes of your application in writing, following a decision by the delegate, via email.

If you are successful, you will also be advised about any specific conditions attached to the grant. If you are unsuccessful, you may submit a new application for the same project (or a similar project) in any future grant opportunity rounds. You may wish to include new or more information to address any weaknesses that may have prevented your previous application from being successful.

9.2 Successful grant applications

9.2.1 The grant agreement

If you are successful, you will enter into a grant agreement following the Commonwealth Grants Rules and Guidelines. Standard terms and conditions for the grant agreement will apply and cannot be changed. Any additional conditions attached to the grant will be identified in the grant offer or during the grant agreement negotiations.

You will be required to:

- complete a brief communications outline within two weeks of accepting the grant offer,
- provide a final report, including financial acquittal, using the online template within 60 days of project completion,

- where conference or meeting participation of a principal speaker is funded, a copy of the paper presented by the participant must be provided in addition to interim and final reports.

KONEKSI will negotiate agreements with successful applicants by 30 June 2023. If there are unreasonable delays in finalising a grant agreement, the grant offer may be withdrawn, and the grant may be awarded to a different applicant.

Where a grantee fails to meet the obligations of the grant agreement, KONEKSI may withhold or suspend the funding to the grantee; and/or require the grantee to repay all or part of the grant. No compensation is payable by the Department for termination in these circumstances.

You should not make financial commitments until a grant agreement has been executed by KONEKSI.

9.2.2 How the grant will be paid

The grant agreement will state the maximum grant amount to be paid.

KONEKSI will make an initial payment on execution of the grant agreement and on receipt of a valid invoice. We will make subsequent payments, detailed in the grant agreement, progressively based on your interim reports, including acquittals, and receipt of a valid invoice.

Grants will be awarded in the currency reflected in the budget (either AUD or IDR). You are responsible for any financial differences that may occur from the time of the application submission to when the project takes place, due to fluctuations in the exchange rate.

9.2.3 Grant agreement variations

We recognise that unexpected events may affect the progress of a project. In these circumstances, you can request a project variation, including:

- changing project milestones consistent with the original intent of the project
- extending the timeframe for completing the project for a reasonable period of time
- changing the allocations across budget items

The ECC does not allow for:

- an increase to the agreed amount of grant funds

If you want to propose changes to the grant agreement, you must put them in writing before the grant agreement end date. Contact the KONEKSI Secretariat for further information via cfp@koneksi-kpp.id. KONEKSI will not consider changes after the grant agreement end date.

You should not assume that a variation request will be successful. The KONEKSI Secretariat will consider your request based on factors such as:

- how it affects the project outcome
- consistency with the ECC policy objective and any relevant policies of the department
- changes to the timing of grant payments
- availability of program funds.

9.3 Announcement of grants

If successful, your grant will be listed on the KONEKSI website and within 21 days of the date of effect as required by the CGRGs.

9.4 Feedback

If you are unsuccessful, you may ask for feedback from KONEKSI within one month of being advised of the outcome. KONEKSI will give written feedback within two months of feedback being requested.

10. Delivery of grant activities

10.1 Your responsibilities

You must submit grant activity reports in line with the timeframes in the grant agreement. Sample templates for these reports will be made available by KONEKSI. KONEKSI will expect you to report on:

- progress against actively deepening relationships and development of your project.
- progress against agreed project milestones, including GEDSI;
- contributions of participants directly related to the project.
- eligible expenditure of grant funds; and
- results against key performance indicators and the project specific outputs detailed in your application.

You will also be responsible for:

- meeting the terms and conditions of the grant agreement and managing and promoting the activity efficiently and effectively.
- complying with record keeping, reporting and acquittal requirements as set out in the grant agreement;
- all administrative arrangements associated with your project including visa and travel arrangements, visa charges, airport taxes, ground transport, travel and health insurance for project participant's medical and hospital insurance cover both overseas and in Australia for visitors not covered by Medicare (including evacuation and death cover), and necessary insurance for equipment and accommodation costs and arrangements;
- other insurances, including workers' compensation, as required by law, and professional indemnity, public health and liability insurance, as required by the project; and
- complying with all applicable domestic and international laws.

10.2 KONEKSI responsibilities

KONEKSI will:

- meet the terms and conditions set out in the grant agreement.
- provide timely administration of the grant; and
- evaluate the grantee's performance.
- Provide supports in the form of networking and coalition building opportunities, as stated in each grant agreement.

KONEKSI will monitor the progress of your project by assessing reports you submit, may attend project events and may conduct site visits to confirm details of your reports if necessary. Occasionally KONEKSI may need to re-examine claims, seek further information or request an independent audit of claims and payments.

Funding under this grants program does not imply that the Department of Foreign Affairs and Trade endorses the views of recipients involved in any funded activity.

10.4 Evaluation

The Department of Foreign Affairs and Trade will evaluate KONEKSI activities to measure how well the outcomes and objectives have been achieved. Your grant agreement requires you to provide information to help with this evaluation.

11. Probity

KONEKSI will make sure that the ECC process is fair, according to the published guidelines, incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct and is consistent with the Commonwealth Grants Rules and Guidelines.

Note: These grant guidelines may be modified by KONEKSI. When this happens the revised guidelines will be published on KONEKSI's website.

11.1 Complaints process

All complaints about a grant process must be lodged in writing with cfp@koneksi-kpp.id

Any questions you have about grant decisions for the ECC should be sent to cfp@koneksi-kpp.id **You may wish to list cfp@koneksi-kpp.id as a trusted address in your email system.**

11.2 Conflict of interest

Any conflicts of interest could affect the performance of the grant. There may be a conflict of interest, or perceived conflict of interest, if the Department of Foreign Affairs and Trade, Government of Indonesia or any member of the assessment committee and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party who is able to influence the application selection process, such as an Australian or Indonesian Government officer
- has a relationship with an organisation which is likely to interfere with or restrict the applicants from carrying out the proposed activities fairly and independently or
- has a relationship with, or interest in, an organisation from which they will receive personal gain because the organisation receives funding under the ECC.

You will be asked to declare, as part of your application, any perceived or existing conflicts of interests or that, to the best of your knowledge, there is no conflict of interest.

If you later identify that there is an actual, apparent, or potential conflict of interest or that one might arise in relation to a grant application, you must inform KONEKSI in writing immediately. Committee members and other officials including the decision maker must also declare any conflicts of interest.

The chair of the KONEKSI Secretariat will be made aware of any conflicts of interest and will handle them as set out in Commonwealth Grants Rules and Guidelines.

Annex 1. KONEKSI – Expression of Interest

(editable form can be downloaded from KONEKSI website: www.koneksi-kpp.id)

INSTRUCTIONS

1. All applicants are required to complete all section of this application form and submit this application form by the deadline.
2. By signing and submitting this application, the applicant attests to the Anti-Terrorism Certification (Part 6)
3. Fill out the form in the section identified with <<Text>>

Part 1: Application details

Submission Date: <<Insert Date>>

Title: <<Insert Text>>

Topic / Theme: <<Insert Text>>

Applicant Organisation

<<Insert Name of Organisation>>

<<Insert Address of Organisation>>

<<Insert Contact Details of Organisation>>

Activity Start & End Date

Projected Start: <<Insert Text>>

Projected End: <<Insert Text>>

Projected Partner Organisation(s) to be Involved

<<Insert Name of Organisation(s) >>

<<Insert Address of Organisation(s) >>

<<Insert Contact Details of Organisation(s) >>

Part 2: Projected Budget Stream

Nominate the projected placement of your project in ONE of the three categories below.

Grant type	Nominate your project (mark "X" on the appropriate row)
Bertunas (or seeding) grants	<<'X' if appropriate>>
Bertumbuh (or growing) grants	<<'X' if appropriate>>
Berbuah (or flourishing) grants	<<'X' if appropriate>>

Part 3: Project Concept

Summary:

Provide a Project Concept of no more than 250 words with a summary of the proposed research, including objective(s), methods, anticipated outputs, and outcomes. It should also reference how the project will be responsive to gender equality, disability rights, social inclusion. Avoid use of acronyms, quotation marks and upper-case characters.

<<Insert Text>>

Part 4: Benefit and Impact

Summary:

Provide a statement outlining the intended benefit and impact of the project. You must note how the proposed research will link to the knowledge-to-policy (K2P) and / or knowledge-to-innovation (K2I) cycles in Australia and Indonesia. Write your Benefit and Impact Statement simply, clearly and in plain language.

Also provide a statement that demonstrates how the proposed research can be of value to supporting partnerships between Australia and Indonesia. This can be edited if the applicant proceeds to Stage 2 (Grant Application).

<<Insert Text>>

Part 5: Performance Record and Relationships

Previous Relevant Experience:

Please list relevant work conducted between your organisation and Indonesia. Have the Organisation previously worked on these issues or opportunities. Refer to publications or research providing evidence of the relevant experience. Please also note existing or past relationships between proposed partners.

<<Insert Text>>

Annex 2. KONEKSI – Research Grant (Activity) Proposal Template

(editable form can be downloaded from KONEKSI website: www.koneksi-kpp.id)

INSTRUCTIONS

4. All applicants are required to complete all section of this application form and submit this application form by the deadline.
5. By signing and submitting this application, the applicant attests to the Anti-Terrorism Certification (Part 6)
6. Fill out the form in the section identified with <<Text>>. Refer to the Assessment criteria for more detail (section 8.1 of this document)

Part 1 – Application

Submission Date: <<Insert Date>>

Title:	<<Insert Text>>
Topic / Theme:	<<Insert Text>>
Applicant Organisation	Partner Organisation(s)
<<Insert Name of Organisation>>	<<Insert Name of Organisation(s) >>
<<Insert Address of Organisation>>	<<Insert Address of Organisation(s) >>
<<Insert Contact Person of Organisation>>	<<Insert Contact Person of Organisation(s) >>
Activity Start & End Date	Activity Budget for KONEKSI, and nominate funding stream
Start: <<Insert Text>> End: <<Insert Text>>	<<Insert Currency & Amount>> <<Insert desired funding stream>>

Part 2: Activity Details

Summary:

Summary of the proposed research, including objective(s), methods, deliverables, outputs, and outcomes.

<<Insert Text>>

Detailed description:

Provide a detailed description of the proposed program activity, discuss what program activity will do and how it will be designed and implemented to accomplish the objectives, as well as how the activity relates to the policy priorities and contribute to the achievement of KONEKSI outcomes. The detailed description should provide an overview of the proposed activities how it address the identified issues or opportunities, and how does it align to KONEKSI, GoA and GoI priorities. Add details on the proposed sub-activities as annex (work plan, agenda, etc) if necessary. This may be incorporated with the method, implementation plan and relevant outcomes sections below.

<<Insert Text>>

Method:

<<Insert Text>>

Implementation plan

A quality implementation plan should show how the anticipated results will be achieved. What activities, processes, or strategies are essential to achieve the results? Why will the planned work lead to the intended results? What is the anticipated timetable for the achievement of the results? As well as the reach and timeline.

<<Insert Text>>

Relevant Outcomes:

<<Insert Text>>

GEDSI Responsiveness, and contributions to GEDSI-responsive research:

Explain how the program activity is intending to contribute to GEDSI (Gender Equality, Disability and Social Inclusion) responsive research by actively addressing issues of gender inequality, disability rights and social inclusion and ensure that considerations of these groups have been integrated into the design, proposed activities and outcomes.

<<Insert Text>>

Technical Capability:

<<Insert Text>>

Ethics:

Demonstrated experience, mechanism, and capability to ensure any ethics clearance is received within suitable time to deliver the activity.

<<Insert Text>>

Part 3: Response to General Assessment and Due Diligence Selection Criteria

Engagement of Key Partners and Stakeholders:

Explain how each of the key partners identified in Part 1 will be engaged in this program activity and for what purpose(s). To what extent and in what way is the activity co-designed?

GEDSI:

Partnership demonstrates broad commitment to the principles of diversity and inclusion, including reference to diversity and inclusion in partnership character / agreement (when existing), and diverse composition of lead partners' board and senior management team (i.e., gender equality, senior/ middle and early career researchers, etc. and specifically how they will be supported throughout the project).

<<Insert Text>>

Suitable project staff

<<Insert Text>>

Financial & Administrative Capability (and any Indonesian and or Australian national interest test requirements)

<<Insert Text>>

MERL Capacity

'Monitoring Evaluation Research and Learning' Capacity. This should contain a set of indicators that permit continuous measurement of progress toward targeted results. For each result, what is the performance indicator? What is the baseline data? What is the performance target? What is the timetable for achieving results? For each performance indicator, what is the definition and what is the unit of measurement? What are the plans for reporting and using the performance information?

<<Insert Text>>

Demonstrated partnership record

<<Insert Text>>

Co-funding (if relevant)

Describe any agreed monetary and non-monetary contributions to the project from each institution

<<Insert Text>>

Additional information: Past Performance
Previous Relevant Experience:

Have the Organisations worked together or on these issues or opportunities before – refer to publication/attachment or research providing evidence of relevant experiences – either below, or as an attachment.

<<Insert Text or refer to attachment>>

Past Performance References (required).

All applicants are subject to a past performance reference check. Applicants must provide name, address, and other contact information of at least two (2) past performance references – either below, or as an attachment.

<<Insert Text or refer to attachment>>

Part 4: Activity Cost

Indicative Cost:

Application must contain a detailed line-item budget accompanied by a budget narrative explaining all costs. Lump sum budgets will be considered as non-compliant and will be rejected. An acceptable budget will have all costs broken out by unit costs and should clearly show the number of units used for each line item. Each line item should identify the type of cost, e.g., labour units, units of materials and/or equipment, travel, transportation, communications, etc. The narrative will describe how the applicant determined each unit cost and the number of units for each line item. Applicants are required to submit the Budget and Budget Narrative as Attachment to this application.

<<Insert Text>>

Part 5: Additional Information

Communications:

Provide a description of the requirements for communication. List what communication/ knowledge products are expected to be produced from this activity.

<<Insert Text>>

Relevance and Sustainability:

Describe how the activity contribute to better policy and innovation and will support sustainability, scale-out and/or scale-up (if applicable).

<<Insert Text>>

Value-for-Money and Value-Add:

Identify and discuss how the program activity is contributing to VfM and/or providing Value-Add. This focuses primarily on the additional benefits that may be realized in addition to the stated objectives of the program activity, in comparison to the value of the investment required for the activity. This may involve a discussion as to why the approach to implement the activity was selected – in that it offered VfM or additional benefits.

<<Insert Text>>

Risk Management:

Identify any potential risk that effect the implementation and the achievement of research objectives

<<Insert Text>>

Part 6: Certification

By signing and submitting this application, the applicant provides the certification set out below:

1. **The applicant, to the best of its current knowledge**, did not provide, within the previous ten years, and will take all reasonable steps to ensure that it does not and will not knowingly provide, material support or resources to any individual or entity that commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated, or participated in terrorist acts, as that term is defined in Paragraph 3 below.
2. The applicant must use its best endeavours to ensure that funds provided under the contract do not provide direct or in-direct support or resources to organisations associated with terrorism. If, during the contract, the Applicant discovers any link whatsoever with any organization or individual associated with terrorism it must inform KONEKSI immediately. A full list of listed persons and entities is available from DFAT at www.dfat.gov.au/icat/freezing_terrorist_assets.html. A list of listed “terrorist organisations” is available from National Security Australia at: www.nationalsecurity.gov.au.

3. The following steps may enable the applicant to comply with its obligations under Paragraph 1:

- a) Before providing any material support or resources to an individual or entity, the applicant also will verify that the individual or entity has not been designated by the United Nations Security (UNSC) sanctions committee established under UNSC Resolution 1267 (1999) (the “1267 Committee”) [individuals and entities linked to the Taliban, Usama bin Laden, or the Al Qaida Organization]. To determine whether there has been a published designation of an individual or entity by the 1267 Committee, the Recipient should refer to the consolidated list available online at the Committee’s Web site: <http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm>.
- b) Before providing any material support or resources to an individual or entity, the applicant will consider all information about that individual or entity of which it is aware and all public information that is reasonably available to it or of which it should be aware.
- c) The applicant also will implement reasonable monitoring and oversight procedures to safeguard against assistance being diverted to support terrorist activity.

4. For purposes of this Certification:

- a) “Material support and resources” means currency or monetary instruments or financial securities, financial services, lodging, training, expert advice or assistance, safe-houses, false documentation or identification, communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation, and other physical assets, except medicine or religious materials.
- b) “Terrorist act” means:
 - i. an act prohibited pursuant to one of the 12 United Nations Conventions and Protocols related to terrorism (see United Nations terrorism conventions Internet site: <http://untreaty.un.org/English/Terrorism.asp>);
 - ii. an act of premeditated, politically motivated violence perpetrated against non-combatant targets by subnational groups or clandestine agents; or
 - iii. any other act intended to cause death or serious bodily injury to a civilian, or to any other person not taking an active part in hostilities in a situation of armed conflict, when the purpose of such act, by its nature or context, is to intimidate a population, or to compel a government or an international organisation to do or to abstain from doing any act.
- c) “Entity” means a partnership, association, corporation, or other organisation, group or subgroup.
- d) The applicant’s obligations under Paragraph 1 above are not applicable to the procurement of goods and/or services by the applicant that are acquired in the ordinary course of business through contract or purchase, e.g., utilities, rents, office supplies, gasoline, etc., unless the applicant has reason to believe that a vendor or supplier of such goods and services commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated or participated in terrorist acts.

5. On behalf of the applicant organisation, I understand and acknowledge that this concept note's submission does not guarantee any funding or technical support from the KONEKSI Program. The solutions proposed do not contravene the intellectual property or other rights of any other organisation.

Organisation: <<Insert Text>>	Date: <<Insert Text>>
Name of Authorised Personnel: <<Insert Text>>	Signature:

Annex 3. Agreed themes and topics.

Priority	Sub-themes	Practical areas of focus	
Climate Change Mitigation	• Climate Financing	<ul style="list-style-type: none"> • Understanding impact on vulnerable communities • Role of digital economy 	<ul style="list-style-type: none"> • Mitigating negative socio-economic effects, including GEDSI and impacts on vulnerable communities
	• Disaster risk mitigation	<ul style="list-style-type: none"> • Forest fire management and impact and approaches on vulnerable communities, GEDSI 	<ul style="list-style-type: none"> • Peat fire mitigation, vulnerable communities and GEDSI
	• Environmental conservation	<ul style="list-style-type: none"> • Coral reef conservation measures • Ocean conservation measures 	<ul style="list-style-type: none"> • Preventing biodiversity loss and promoting sustainable forestry and impacts on vulnerable communities, GEDSI
	• Natural Resource Management	<ul style="list-style-type: none"> • Water systems 	<ul style="list-style-type: none"> • Climate effects and impacts of social ecology (e.g. fishing) • Approaches and impacts on vulnerable communities, GEDSI
Climate Change Adaptation	• Understanding environmental changes & needs	<ul style="list-style-type: none"> • Wildlife and environmental impacts, vulnerable communities, GEDSI • Role of AI in modelling 	<ul style="list-style-type: none"> • Wildlife protection and management, vulnerable communities, GEDSI
	• Energy transition	<ul style="list-style-type: none"> • Green economy and green jobs growth and new livelihoods • Transition to clean energies • Role of digital/ AI in modelling 	<ul style="list-style-type: none"> • GEDSI-focused approaches and impacts of energy transition measures. • Low carbon development
	• Blue carbon	<ul style="list-style-type: none"> • Enhancing blue carbon opportunities, mangroves, seagrass • Understanding barriers to blue carbon barriers 	<ul style="list-style-type: none"> • Ocean conservation measures and GEDSI focused approaches • Climate and smart water systems
	• Economic transition and impacts	<ul style="list-style-type: none"> • Circular waste practices and technologies, plastics, e-waste 	

Climate Change Resilience	<ul style="list-style-type: none"> • Future proofing communities 	<ul style="list-style-type: none"> • Socio-ecology resilience, GEDSI approaches • Resilience modelling and GEDSI impact 	<ul style="list-style-type: none"> • Disaster risk reduction modelling • Community resilience including GEDSI and intersectional impacts on vulnerable communities
	<ul style="list-style-type: none"> • Health impacts 	<ul style="list-style-type: none"> • Impact on population health, GEDSI approaches • Health technologies, role of big data/ digital approaches 	<ul style="list-style-type: none"> • Evolving environments • GEDSI and intersectional impacts on vulnerable communities
	<ul style="list-style-type: none"> • Future-proofing environments 	<ul style="list-style-type: none"> • Sustainable forestry • Climate secure and adapted food and agriculture systems 	<ul style="list-style-type: none"> • Disaster risk reduction for natural environments

Annex 4: Acronyms

ABN:	Australian Business Number
ACOLA:	Australian Council of Learned Academies
AEDT:	Australian Eastern Daylight Time
AEST:	Australian Eastern Standard Time
BAPPENAS:	National Development Planning Agency (Indonesia)
BRIN:	National Innovation and Research Agency (Indonesia)
CGRGs:	Commonwealth Grants Rules and Guidelines (Australia)
DFAT:	Department of Foreign Affairs and Trade (Australia)
ECC:	Environment and Climate Change
EOI:	Expression of Interest
EOIO:	End of Investment Outcomes
GoA:	Government of Australia
Gol:	Government of Indonesia
GEDSI:	Gender Equality, Disability and Social Inclusion
GST:	Goods and Services Tax
KONEKSI:	KONEKSI (also known as the Knowledge Partnership Platform, KPP). It means 'connection' in Indonesian.
PTC:	Program Technical Committee (KONEKSI)
PSC:	Program Steering Committee (KONEKSI)